

JC904 U.S. PTO  
10/21/01

12-31-01

A

NON-PROVISIONAL  
UTILITY PATENT APPLICATION  
TRANSMITTAL - 37 CFR 1.53(b)

☒ Duplicate  
(check, if applicable)

JC979 U.S. PTO  
10/032311  
12/21/01

Assistant Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, DC 20231

Attorney Docket No. 053893-5012-01  
First Named Inventor: Doms  
Express Mail Label No. EL231896322US  
Total Pages of Transmittal Form: 2

Transmitted herewith for filing is the non-provisional utility patent application entitled:

**A LIPOPARTICLE COMPRISING A PROTEIN AND METHODS  
OF MAKING AND USING THE SAME**

which is:

an ☐ Original; or

a ☐ Continuation, ☐ Divisional, or ☒ Continuation-in-part (CIP)  
of prior Application No. 09/006,678 filed January 13, 1998.  
Anticipated Group/Art Unit: \_\_\_\_\_ or Class \_\_\_\_\_, Subclass \_\_\_\_\_.

☒ This non-provisional patent application is based on Provisional Patent Application  
No. 60/047,226, filed May 20, 1997, and Provisional Patent Application No.  
60/257,988 filed on December 22, 2000.

Enclosed are:

- ☒ Specification (including Abstract) and claims: 100 pages.
- ☐ Application Data Sheet.
- ☒ Newly executed/**unexecuted** Declaration (original/copy).
- ☐ Copy of Declaration from prior application.
- ☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- ☒ 12 Sheets of drawings (**formal**) plus one copy.
- ☐ Microfiche computer program (Appendix).
- ☐ Nucleotide and/or Amino Acid Sequence Submission, including:
  - ☐ Computer readable copy ☐ Paper Copy ☐ Verified Statement.
- ☐ Under PTO-1595 Cover Sheet, an assignment of the invention
- ☒ Name of Assignee: The Trustees of the University of Pennsylvania.
- ☐ Certified copy(ies) of \_\_\_\_\_ Application No(s). \_\_\_\_\_ filed \_\_\_\_\_ is/are filed:
  - ☐ herewith or ☐ in prior application &@.
- ☒ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37  
C.F.R. §1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern, or ☒ a  
Non-Profit Organization.
- ☐ Preliminary Amendment.
- ☐ Information Disclosure Statement, PTO-1449, and cited references.
- ☐ Request for Nonpublication of Application Under 35 U.S.C. §122(b)

☐ Other:

The filing fee is calculated as follows:

			SMALL ENTITY			LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE: \$370			BASIC FEE: \$740	
Total	49-20 =	29	X9	\$ 261	OR	X18	\$
Independent	8-3 =	5	X42	\$ 210	OR	X84	\$
<input type="checkbox"/> Multiple Dependent Claims Present			\$140	\$ 0	OR	\$280	\$
			<b>TOTAL</b>	<b>\$ 841</b>	OR	<b>TOTAL</b>	<b>\$</b>

☐ The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts.

☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-0310 (Billing No. 053893-5012-01)** as noted below. A duplicate copy of this sheet is enclosed.

☒ Any overpayments or deficiencies in the above-calculated fee.

☒ Filing fee in the amount of \$ 841.00 as calculated above.

☒ Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.

☒ In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

**CORRESPONDENCE ADDRESS:**

December 21, 2001  
(Date)

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Enclosures